

POLS 3960 U.S. Strategy in Asia

Spring 2016, MWF 12:00-12:50

Professor Nori Katagiri

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Course Description and Objectives:

This course is designed to explore various parts of East Asia and American strategy to deal with issues we face in the region. The countries we examine primarily include those in Northeast Asia, China, and Southeast Asia. We will discuss the nature of relationship the United States maintains with countries in East Asia and our strategic options mostly in the post-Cold War era. In so doing, we will explore what political ends the country has in Asia and what strategy there is to pursue them.

In this course, we seek to

- explore the past, present, and future of East Asian politics, economy, and security
- gain familiarity with the theoretical literature on US interests in East Asia
- analyze the nature of US relationship with East Asia
- understand the role of power, resources, and ideas in the formation and application of national and regional interests
- investigate a set of strategic options for the United States, and
- hone critical thinking on political events taking place in East Asia

The course is divided into four sections: (1) the past and present (2) American strategy, (3) Northeast Asia and China, and (4) Southeast and South Asia. First, we examine the background of our interests in the region. Second, we explore our strategic choices in East Asia. Third, we discuss strategic options we have in China and Northeast Asia. Lastly, we investigate our relationship with Southeast and South Asia.

Required Texts:

- David Shambaugh and Michael Yahuda, eds., *International Relations of Asia, Second Edition* (Lanham, MD: Rowman and Littlefield, 2014).
- Kent Calder, *Asia in Washington: Exploring the Penumbra of Transnational Power* (Washington, DC: Brookings Institution Press, 2014).
- Ashley Tellis, eds., *Strategic Asia 2015-16: Foundations of National Power in the Asia-Pacific* (Seattle: National Bureau of Asian Research, 2015).

Course Requirements and Grading:

Map quiz: 10% of final grade

The quiz will ask you to point all Asian countries on a map. It will be given on January 20, Wednesday.

Mid-term exam: 20% of final grade

This exam will be given in class on March 2, Wednesday. It will be based on lecture content and reading materials assigned through that date. A make-up exam will be given only if you provide to the instructor with evidence of family/medical emergency or university-sponsored activities that force your absence.

Final paper: 40% of final grade

The final paper will be comprehensive. A topic will be given on April 25, Monday, and the paper is due May 2, Monday, at noon. Late submissions will be penalized for a reduction in grade letter per day (i.e. A → A-). A late submission of more than one week will not be accepted and will automatically receive the grade of F for this assignment. Students taking this course for honors credit are required to write a 20-25 page research paper *in addition to* the paper.

Class participation: 30% of final grade

Your attendance and active participation are vital to the success of this course. At a minimum, you should come to class on time having completed and thought about the assigned readings for that class. We will cover materials during class that are not in the readings (and we will not cover every single point from the readings in class). A record of attendance will be maintained, and it is your individual responsibility to sign the class roster at each session. If you must miss class for an approved reason (e.g.; illness, death or medical emergency in the immediate family, University-sponsored activities), please let me know via email as soon as possible and I will make sure that I will not count it as absence. You are responsible for all materials covered in class, whether you are physically present or not. Make-up exams will be given only under the most extraordinary of circumstances.

I expect that all students will contribute to class discussions through analysis, questions, and criticisms of the assigned readings. In assigning participation grades, quality of participation will take precedence over quantity of participation (hence, students who participate frequently but without giving much thought to their comments/questions are not at an advantage compared to students who offer occasional but insightful analysis and questions).

Final letter grades will be assigned that correspond to the following numeric scale:

A	93-100	B+	87-89	C+	77-79	D	60-70
A-	90-92	B	83-86	C	73-76	F	below 60
		B-	80-82	C-	70-72		

Classroom Courtesy:

Out of respect for all of those in class, all cell phones, pagers, and any other noisemaking devices must be turned OFF during the entire class period and during exams. Laptop computers may be used in class provided they do not make noise that disturbs those around you. I reserve the right to ask you to turn off and put away your computer if it is creating a disruption.

Academic Integrity:

Academic integrity is honest, truthful and responsible conduct in all academic endeavors. The mission of Saint Louis University is “the pursuit of truth for the greater glory of God and for the service of humanity.” Accordingly, all acts of falsehood demean and compromise the corporate endeavors of teaching, research, health care, and community service via which SLU embodies its mission. The University strives to prepare students for lives of personal and professional integrity, and therefore regards all breaches of academic integrity as matters of serious concern.

The governing University-level Academic Integrity Policy was adopted in Spring 2015, and can be accessed on the Provost’s Office website at:

http://www.slu.edu/Documents/provost/academic_affairs/University-wide%20Academic%20Integrity%20Policy%20FINAL%20%206-26-15.pdf.

Additionally, each SLU College, School, and Center has adopted its own academic integrity policies, available on their respective websites. All SLU students are expected to know and abide by these policies, which detail definitions of violations, processes for reporting violations, sanctions, and appeals. Please direct questions about any facet of academic integrity to your faculty, the chair of the department of your academic program, or the Dean/Director of the College, School or Center in which your program is housed.

Specific College of Arts and Sciences Academic Honesty Policies and Procedures may be found at:

<http://www.slu.edu/x12657.xml>

Title IX Statement:

Saint Louis University and its faculty are committed to supporting our students and seeking an environment that is free of bias, discrimination, and harassment. If you have encountered any form of sexual misconduct (e.g. sexual assault, sexual harassment, stalking, domestic or dating violence), we encourage you to report this to the University. If you speak with a faculty member about an incident of misconduct, that faculty member must notify SLU’s Title IX coordinator, Anna R. Kratky (DuBourg Hall, room 36; akratky@slu.edu; 314-977-3886) and share the basic fact of your experience with her. The Title IX coordinator will then be available to assist you in understanding all of your options and in connecting you with all possible resources on and off campus.

If you wish to speak with a confidential source, you may contact the counselors at the University Counseling Center at 314-977-TALK. To view SLU’s sexual misconduct policy and for resources, please visit the following web address: <http://www.slu.edu/general-counsel-home/office-of-institutional-equity-and-diversity/sexual-misconduct-policy> www.slu.edu/here4you .

Student Success Center:

In recognition that people learn in a variety of ways and that learning is influenced by multiple factors (e.g., prior experience, study skills, learning disability), resources to support student success are available on campus. The Student Success Center, a one-stop shop, which assists students with academic and career related services, is located in the Busch Student Center (Suite, 331) and the School of Nursing (Suite, 114). Students who think they might benefit from these resources can find out more about:

- Course-level support (e.g., faculty member, departmental resources, etc.) by asking your course instructor.
- University-level support (e.g., tutoring services, university writing services, disability services, academic coaching, career services, and/or facets of curriculum planning) by visiting the Student Success Center or by going to www.slu.edu/success.

Disability Services Academic Accommodations:

Students with a documented disability who wish to request academic accommodations are encouraged to contact Disability Services to discuss accommodation requests and eligibility requirements. Please contact Disability Services, located within the Student Success Center, at Disability_services@slu.edu or 314.977.3484 to schedule an appointment. Confidentiality will be observed in all inquiries. Once approved, information about academic accommodations will be shared with course instructors via email from Disability Services and viewed within Banner via the instructor's course roster.

Course Schedule

Part 1: The Past and Present

January 11 (M) Course Overview

- Start reading for next class.

January 13 (W) The United States in East Asia

- Ming Wan, *The Political Economy of East Asia: Striving for Wealth and Power* (Washington, DC: CQ Press, 2008), pp. 1-4.
- Calder, *Asia in Washington*, pp. 64-85.
- Hillary Rodham Clinton, "America's Pacific Century," *Foreign Policy* (2011), <http://foreignpolicy.com/2011/10/11/americas-pacific-century/>.

January 15 (F) Asia in the United States

- Calder, *Asia in Washington*, pp. 86-121.

January 18 (M) Martin Luther King Day (no class)

- January 20 (W)** **Sun Tzu**
 - Sun Tzu, *The Art of War* (Oxford: Oxford University Press, 1971), pp. 62-101.

 Map quiz
- January 22 (F)** **East Asian Civilizations and Cultures**
 - Edwin Reischauer, “The Sinic World in Perspective,” *Foreign Affairs*, Vol. 52, No. 2 (January, 1974), pp. 341-348.
 - Samuel Huntington, *Clash of Civilizations and the Remaking of the World Order* (New York: Norton, 1996), pp. 218-245.
- January 25 (M)** **How Things Work in Asia – Symbols and “Deep Play”**
 - Clifford Geertz, *The Interpretation of Cultures* (New York: Basic Books, 1973), pp. 412-425, 432-442.
- January 27 (W)** **How Things Work in Asia – Weapons of the Weak**
 - James Scott, *Weapons of the Weak: Everyday Forms of Peasant Resistance* (New Haven: Yale University Press, 1985), pp. xv-xix, 1-27.
- January 29 (F)** **Asia in Recent History**
 - Samuel Kim, “The Evolving Asian System: Three Transformations,” in Shambaugh and Yahuda, eds., *International Relations of Asia*, pp. 35-56.
- February 1 (M)** **Asian Economy during the Cold War**
 - Wan, *The Political Economy of East Asia*, pp. 21-49.
- February 3 (W)** **Asian Economy after the Cold War**
 - Edward Lincoln, “The Asian Regional Economy,” in Shambaugh and Yahuda, eds., *International Relations of Asia*, pp. 317-341.
- February 5 (F)** **Geopolitics after the Cold War**
 - Richard Betts, “Wealth, Power, and Instability: East Asia and the United States after the Cold War,” *International Security*, Vol. 18, No. 3 (Winter 1993-1994), pp. 34-77.
- Part 2: American Strategy in Asia**
- February 8 (M)** **Strategic Choice after the Cold War**
 - Barry Posen and Robert Ross, “Competing Visions for U.S. Grand Strategy,” *International Security*, Vol. 21, No. 3 (Winter 1996-1997), pp. 5-53.

- February 10 (W) No class (instructor speaking at conference)**
- Aaron Friedberg, Ripe for Rivalry: Prospects for Peace in a Multipolar Asia,” *International Security*, Vol. 18, No. 3 (Winter 1993-1994), pp. 5-33.
- February 12 (F) No class (instructor speaking at conference)**
- Dennis Blair, “The United States: A Strong Foundation but Weak Blueprint for National Security,” in Tellis, eds., *Strategic Asia 2015-16*, pp. 225-258.
- February 15 (M) Strategy in Asia**
- Sheldon Simon, “Is There a U.S. Strategy for East Asia?” *Contemporary Southeast Asia*, Vol. 21, No. 3 (December 1999), pp. 325-343.
 - Robert Sutter, “The United States in Asia: Durable Leadership,” in Shambaugh and Yahuda, eds., *International Relations of Asia*, pp. 93-112.
- February 17 (W) Strategy of Offshore Balancing**
- John Mearsheimer, *The Tragedy of Great Power Politics* (New York: Norton, 2011), pp. 360-411.
- February 19 (F) Strategy of Restraint**
- Barry Posen, *Restraint: A New Foundation for U.S. Grand Strategy* (Ithaca: Cornell University Press, 2014), 1-23, 164-175.
- February 22 (M) Strategy of Selective Engagement**
- Robert Art, “Geopolitics Updated: The Strategy of Selective Engagement,” *International Security*, Vol. 23, No. 3 (Winter 1998-1999).
- February 24 (W) Territorial Disputes**
- Ben Dolven, et al., *Maritime Territorial Disputes in East Asia: Issues for Congress* (Washington, DC: Congressional Research Service, 2013), <http://fpc.state.gov/documents/organization/203727.pdf>, pp. 1-6, 13-33.
- February 26 (F) Politics in the Cyber Space in East Asia**
- David Gompert and Martin Libicki, “Cyber Warfare and Sino-American Crisis Instability,” *Survival*, Vol. 56, No. 4 (August 2014), pp. 7-18, <http://www.tandfonline.com.au/fric.idm.oclc.org/doi/pdf/10.1080/00396338.2014.941543>.
 - Nicholas Thomas, “Cyber Security in East Asia: Governing Anarchy,” *Asian Security*, Vol. 5, No. 1 (2009), pp. 3-20, <http://www.tandfonline.com/doi/pdf/10.1080/14799850802611446>.

- February 29 (M) Midterm review**
- March 2 (W) Midterm exam**
- March 4 (F) No class (instructor speaking at conference)**
- March 7-12 Spring break (no class)**

Part 3: Northeast Asia and China

- March 14 (M) US Relations with Northeast Asia**
- Jeffrey Bader, *Obama and China's Rise: An Insider's Account of America's Asia Strategy* (Washington, DC: Brookings Institution Press, 2012), pp. xiii-17.
- March 16 (W) China's National Power**
- Nadege Rolland, "China's National Power: A Colossus with Iron or Clay Feet?" in Tellis, eds., *Strategic Asia 2015-16*, pp. 23-54.
- March 18 (F) Chinese Domestic Politics and Economy**
- Susan Lawrence and Michael Martin, *Understanding China's Political System* (Washington, DC: Congressional Research Service, 2012), <http://fpc.state.gov/documents/organization/190156.pdf>, pp. 1-33.
- March 21 (M) China's Grand Strategy**
- Wang Jisi, "China's Search for a Grand Strategy," *Foreign Affairs* (March/April 2011).
 - Liu Mingfu, *The China Dream: Great Power Thinking and Strategic Posture in the Post-American Era* (CN Times Book, 2015), pp. 1-21.
- March 23 (W) US-China Relations**
- Phillip Saunders, "China's Role in Asia," in Shambaugh and Yahuda, eds., *International Relations of Asia*, pp. 127-145.
 - Calder, *Asia in Washington*, pp. 140-154.
- March 25 (F) Easter break (no class)**
- March 28 (M) Easter break (no class)**
- March 30 (W) Taiwan**
- Calder, *Asia in Washington*, pp. 154-170.

- April 1 (F) Japan's National Power**
- Michael Auslin, "Japan's National Power in a Shifting Global Balance," in Tellis, eds., *Strategic Asia 2015-16*, pp. 57-88.
- April 4 (M) Japan's Foreign Policy**
- Michael Green, "Japan's Role in Asia: Searching for Certainty," in Shambaugh and Yahuda, eds., *International Relations of Asia*, pp. 197-219.
 - Calder, *Asia in Washington*, pp. 170-188.
- April 6 (W) The Korean Peninsula**
- Scott Snyder, "The Korean Peninsula and Northeast Asian Stability," in Shambaugh and Yahuda, eds., *International Relations of Asia*, pp. 293-312.
 - Victor Cha, *The Impossible State: North Korea, Past and Future* (New York, Ecco, 2012), pp. 1-15.
- April 8 (F) No class (instructor speaking at conference)**
- April 11 (M) South Korea**
- Chung Min Lee, "Challenges to South Korean Power in the Early 21st Century," in Tellis, eds., *Strategic Asia 2015-16*, pp. 91-123.
 - Calder, *Asia in Washington*, pp. 188-201.
- April 13 (W) Russia**
- Andrew Kuchins, "Russian Power Rising and Falling Simultaneously," in Tellis, eds., *Strategic Asia 2015-16*, pp. 125-158.
- Part 4: Southeast and South Asia**
- April 15 (F) Southeast Asia**
- Sheldon Simon, "ASEAN and Southeast Asia: Remaining Relevant," in Shambaugh and Yahuda, eds., *International Relations of Asia*, pp. 225-243.
- April 18 (M) Myanmar**
- Calder, *Asia in Washington*, pp. 221-232.
- April 20 (W) Indonesia**
- Vikram Nehru, "Indonesia: The Reluctant Giant," in Tellis, eds., *Strategic Asia 2015-16*, pp. 191-222.
 - Calder, *Asia in Washington*, pp. 232-243.

April 22 (F)

The Philippines

- Thomas Lum and Ben Dolven, *The Republic of the Philippines and U.S. Interests – 2014* (Washington, DC: Congressional Research Service, 2014), <http://fpc.state.gov/documents/organization/225627.pdf>, pp. 1-21.

April 25 (M)

India

- Rajesh Rajagopalan, “India’s Unrealized Power,” in Tellis, eds., *Strategic Asia 2015-16*, pp. 161-189.
- Calder, *Asia in Washington*, pp. 202-221.

Final paper topic to be given.

April 27 (W)

Pakistan

- Harsh Pant, “The Pakistan Thorn in China-India-U.S. Relations,” *The Washington Quarterly*, Vol. 35, No. 1 (Winter 2012), pp. 83-93.

April 29 (F)

Strategy and Grand Strategy in Asia

- Nori Katagiri, “Strategy and Grand Strategy in Asia,” *Asian Survey*, Vol. 55, No. 6 (November/December 2015).

May 2 (M)

Class discussion

Final paper due at noon.